

Executive Certificate in **INVESTOR RELATIONS**

Application Fee: HK\$150 (non-refundable)

Proposed intake of Study (Ple	ase tick)				
January 2025 intake 226	55-EP024A				
PERSONAL PARTI	CULARS		_		
Name in Chinese (if appropriate)			Name in Englis (Surname first	h t)	
	Title *	Mr. / Mrs. / Ms. / Miss.			
Date of Birth			HKID Card Passport No		
Nationality			Permanent HK (if No, please s	K Resident Ye see Notes to Non-loca	s No al Applicants)
Correspondence Address					
Home Telephone		Mobile Phone		Office Telephone	
Email Address				Fax Number	
Start date of Current Employment			F	Position	
Employer's name and address					
Emergency Contact Persor	In case of emerge	ency, we may need to d	contact your family	y or friend. Please sug	gest.
Contact Person		Ph	none		
ACADEMIC QUALIF	TCATION (in roy	orso chronolog	ical order)		
Please state qualifications relev	vant to the programme / cou	urse entry requirements	you are applying for a	and attach the releveant	proof of qualifications.
Institution	Av	vard Received		Dates of attendance (From / To)	Part-time or Full-time
				(1101117-107	Tutt time
-		-			
Name		· ←	 Please also compl 	lete this part	
Full Postal Address					

EMPLOYMEN	T HISTORY (in revers	se chronolo	gical orde	r)				
Name of Employer		Job Title				Date	(From / To	o)
OTHER RELEV	VANT INFORMATION	J						
HKU SPACE ALUMNI All new enrolled students in box.	n the School will automatically become ordi	nary members of the H	KU SPACE ALUMNI.	if you DO NOT WISH to be	e a member of the HKU SPACE	ALUMNI, p	lease check t	this
require any special assistan Special assistance required	fer equal opportunities to all applicants wince. If you do need such assistance, the Sch							-
Statement on Collection of I 1. It is necessary for applica	Personal Data ants to supply their personal data and to pr	ovide all the informatio	n requested in the a	oplication documents, as	otherwise the School may be	unable to p	rocess and co	onsider
contacts, research, statistic services to the School in rel 3. When the processing and application papers of succesto the School in relation to t 4. Upon enrolment, applicar 5. The School will send urgenumber and email address to the school will send urgenumber and email address to the School will send urgenumber and urgenumber and urgenumber and urgenumber a	ded in this form will be used for processing all and marketing (including direct marketing attain to the above purposes and prescribed consideration of all the applications for a passful candidates will serve as part of the apthe stated purposes. In all such circumstannts will be required to submit a recent colonent messages to students via Short Message that the applicant provides are accurate. All gramme teams for separate arrangements.	ng) purposes. The data of purposes as allowed to particular programme he policicular studences, please be assured our photo for student ide e Services (SMS) and suny change should be rejected.	will be solely handle by the law from time lave been completed nt records and will be that any personal in entification purpose. upplement by other r	I by HKU SPACE staff but to time. : (a) the application pape e handled by HKU SPACE formation you supply will neans such as email (if th	may be transferred to an authors of unsuccessful candidates staff or by staff of an authorisbe kept strictly confidential.	orised third will be dest ed third par mportant th	d party provice troyed; and (but ty providing such the mobil	ding b) the services le phone
discounts and offers, clinics such as direct-mail, email a the programme(s) you enro unsubscribe request (by em	chool will send the latest updates and pron s and other services as well as the alumni and mobile phone, by using your personal o blled, your graduation year etc). You alway nail or by post) to the School at any time. ceive our latest updates and promotional	events, privileges and data (including, but wit s have the right to mak	offers, networking o hout limitation to, yo e subsequent chang	pportunities and fund-ra our name, contact details es on your choice of rece	ising initiatives through variou and other information collec- eiving further marketing mate	is commun ed in your rials by sen	ication chann profile such a ding a writte	nels as
for correction of the data, if information should be addread and submit it to HKU SPACE	the Personal Data (Privacy) Ordinance, app f deemed incorrect. Applications for access essed to the Data Protection Officer, HKU S E. 's policy on personal data (privacy), please	s to personal data shou PACE. For general requ	ıld be made by using ıests of personal data	a special request form a	nd on payment of a fee. Such	application	s for access	of
mail to the "The Program 2. Fees paid by crossed che 3. For general and short cou	n person at any of the School's Enrolment C nme Director, Certificate in Investment, Coll eque or bank draft should be made payable urses, applicants may be required to pay th	lege of Business & Fina to "HKU SPACE". e course fee in cash or	nce, HKU SPACE" 34	/F, United Centre, 95 Que erCard if the course will s	eensway, Hong Kong. tart shortly.			
scheduled. 5. If admission is by selectic Unsuccessful applicants	come, first-served basis and if you do not h on, the official receipt is not a guarantee th will be given a refund of programme/course	at your application has e fee if already paid.	been accepted. We v	vill inform you of the resu	,			
7. Course Fees paid are not 8. Please refer to the HKU S	aching venue within 3 days of the starting or refundable except as statutorily provided of SPACE Prospectus and the official website f	or under very exception	al circumstances (e.	g. course cancellation du	e to insufficient enrolment).			
dependants, who do not nee time studies. It is the respo visa. Applicants may wish to	nts ono-local applicants are required to obtain ed prior approval before taking up full-time nsibility of individual applicants to make ap o note that part-time courses are generally awarded within the HKU System through HI	and part-time studies. opropriate visa arranger y not considered by the	Non-local applicants ments. Admission to	s issued with a valid empl a HKU SPACE academic p	loyment visa also do not need programme/course does not go	prior appro larantee th	val to pursue e issue of a st	e part- student
 I authorise the School to I consent that if registere 	tion given in this application form and the a obtain, and the relevant authorities to relea cd, I will conform to the Statutes and Regul I and agree to the contents of the above not	ase, any information ab ations of the University	out my qualifications and the rules of the	and/or employment as r School.	required for my application.			
]	
Signature			Date					



Executive Certificate in INVESTOR RELATIONS

Module 2	2 : Finance E	nd Pracrice of Investor Relations Essentials for Investor Relations e Governance and Ethics in Investor Relation	FN 60-814-01 (FN 60-814-02 (ons FN 60-814-03 () HK\$2,6	500
Fee enclosed:		Cheque / Draft No.			
PERSONA	L PARTI	ICULARS			
Name ii	n Chinese		Name in English		
		Title * Mr. / Mrs. / Ms. / Miss.			
Date of B	irth		HKID Card / Passport No.		
Nationa	ality		Permanent HK Resident (if No, please see Notes t		S No Applicants)
Corres	pondence Address				
Home Telephone		Mobile Phone		Office Telephone	
Email Address				Fax Number	

Name

Full Postal Address

Please also complete this part

Note:This application acknowledgement is not complete without validation by official printing machine entry of the details above at the University or Town Centre office of the School of Professional and Continuing Education, The University of Hong Kong.

HKU SPACE ALUMNI All new enrolled students in the School will automatically become ordinary members of the HKU SPACE ALUMNI. If you DO NOT WISH to be a member of the HKU SPACE ALUMNI, please check this box.
Equal Opportunities for Learning at HKU SPACE It is the School policy to offer equal opportunities to all applicants with or without disabilities. To enable us to meet the needs of all students, you are invited to indicate on this form whether you require any special assistance. If you do need such assistance, the School may approach you subsequently to obtain further details so as to facilitate our planning and assess how best we can help you. Special assistance required No
Statement on Collection of Personal Data 1. It is necessary for applicants to supply their personal data and to provide all the information requested in the application documents, as otherwise the School may be unable to process and consider their applications.
2. The personal data provided in this form will be used for processing your application for admission, and for registration, academic and administrative communication, alumni management and contacts, research, statistical and marketing (including direct marketing) purposes. The data will be solely handled by HKU SPACE staff but may be transferred to an authorised third party providing services to the School in relation to the above purposes and prescribed purposes as allowed by the law from time to time.
3. When the processing and consideration of all the applications for a particular programme have been completed: (a) the application papers of unsuccessful candidates will be destroyed; and (b) the application papers of successful candidates will serve as part of the applicant's official student records and will be handled by HKU SPACE staff or by staff of an authorised third party providing services to the School in relation to the stated purposes. In all such circumstances, please be assured that any personal information you supply will be kept strictly confidential.
 Upon enrolment, applicants will be required to submit a recent colour photo for student identification purpose. The School will send urgent messages to students via Short Message Services (SMS) and supplement by other means such as email (if the need arises). It is therefore important that the mobile phone number and email address that the applicant provides are accurate. Any change should be reported to the School immediately. Those who have genuine difficulty in receiving urgent messages via SMS should contact the programme teams for separate arrangements.
Use of Personal Data related to Direct Marketing 6. From time to time, the School will send the latest updates and promotional materials to applicants / students and alumni on the availability of the programmes and courses, seminars and events, discounts and offers, clinics and other services as well as the alumni events, privileges and offers, networking opportunities and fund-raising initiatives through various communication channels such as direct-mail, email and mobile phone, by using your personal data (including, but without limitation to, your name, contact details and other information collected in your profile such as the programme(s) you enrolled, your graduation year etc). You always have the right to make subsequent changes on your choice of receiving further marketing materials by sending a written unsubscribe request (by email or by post) to the School at any time.
If you DO NOT WISH to receive our latest updates and promotional materials through the communication channels as stated above, including discounts and offers from time to time, please check this box.
7. Under the provisions of the Personal Data (Privacy) Ordinance, applicants have the right to request the School to ascertain whether it holds your personal data, to be given a copy, and to apply for correction of the data, if deemed incorrect. Applications for access to personal data should be made by using a special request form and on payment of a fee. Such applications for access of information should be addressed to the Data Protection Officer, HKU SPACE. For general requests of personal data amendment, please fill out the "Application Form for Personal Data Amendment" and submit it to HKU SPACE. 8. For details on the School's policy on personal data (privacy), please refer to the School Prospectus or Website.
General Notes to Applicants 1. Enrolment can be done in person at any of the School's Enrolment Counters by completing this application form and submitting it with the appropriate fee and relevant documents. You can also mail to the "The Programme Director, Certificate in Investment, College of Business & Finance, HKU SPACE" 34/F, United Centre, 95 Queensway, Hong Kong. 2. Fees paid by crossed cheque or bank draft should be made payable to "HKU SPACE".
 For general and short courses, applicants may be required to pay the course fee in cash or by EPS, Visa or MasterCard if the course will start shortly. If admission is on a first-come, first-served basis and if you do not hear from us before the course starts, you may assume that your application has been accepted, and should attend the course as scheduled.
 If admission is by selection, the official receipt is not a guarantee that your application has been accepted. We will inform you of the result as soon as possible after the closing date for application. Unsuccessful applicants will be given a refund of programme/course fee if already paid. If you do not know the teaching venue within 3 days of the starting date of the course, please check with the relevant programme team.
7. Course Fees paid are not refundable except as statutorily provided or under very exceptional circumstances (e.g. course cancellation due to insufficient enrolment). 8. Please refer to the HKU SPACE Prospectus and the official website for full details of enrolment procedures for HKU SPACE Programmes.
Notes to Non-local Applicants To study in Hong Kong, all non-local applicants are required to obtain a student visa issued by the Immigration Department of the HKSAR Government, except for those admitted to Hong Kong as
dependants, who do not need prior approval before taking up full-time and part-time studies. Non-local applicants issued with a valid employment visa also do not need prior approval to pursue part-time studies. It is the responsibility of individual applicants to make appropriate visa arrangements. Admission to a HKU SPACE academic programme/course does not guarantee the issue of a student visa. Applicants may wish to note that part-time courses are generally not considered by the Immigration Department for visa purposes except for self-financed, part-time locally accredited taught

postgraduate programmes awarded within the HKU System through HKU SPACE.

1. I declare that all information given in this application form and the attached documents are, to the best of my knowledge, accurate and complete.

3. I consent that if registered, I will conform to the Statutes and Regulations of the University and the rules of the School.

2. I authorise the School to obtain, and the relevant authorities to release, any information about my qualifications and/or employment as required for my application.

4. I have noted, understood and agree to the contents of the above notes, Statement on Collection of Personal Data and HKU SPACE policy on personal data (privacy).

Date

Declaration

Signature