HKUSPACE Executive Certificate in Fund Administration and Regulatory Compliance

行政人員證書《基金行政與合規監管管理》

APPLICATION FORM 申請表

Proposed Intake of study (please tick) 入讀學期(請選擇):

□ Mar 2025 intake 2275-EP175A

Sep 2025 intake 2345-EP175A

Fee	enclosed:	_

_____ Cheque / Draft No. _____

\$1<u>5</u>0

Name in Chi 中文				n English 英文姓名		
Title 稱謂 * Mr	· 先生 / Mrs 太太 / Ms 女	士 / Miss 小姐	 Sex 性別 *	* Male 男 / I	-emale 女	
Date of Birth 出生日期				/ Passport No 證 / 護照號码		
Nationality 國籍				Martial Statu 婚姻狀》		
Permanent HK Resident 永久香港居民 □ Yes 是 □ No 否 (if No, please see NOTE TO NON-RESIDENT APPLICANTS)						
Corresponde Add 聯絡均	ress					
Telephone 電話		Mobile 手提電話		Offic	e Telephone 公司電話	
Email Address 電郵地址					Fax Number 傳真	
Type of Busi 行業数 (例如政府,出入口,電子	頁別			 Position 職位		
* Please delete the inappropriate						
Emergency Contact Person In case of emergency, we may need to contact your family or friend. Please suggest. 緊急聯絡人 如遇上緊急事故,我們或需要聯絡你的家人或朋友,請提供聯絡人資料。						
Contact Person 聯絡人				hone 電話		

ACADEMIC QUALIFICATIONS 學歷

Institution 曾就讀院校	Award Received 所得資格/ 文憑/ 學	學位 Dates of Attendance (From/ To) 頒受日期	· Part-time or Full-time 主修科目
Name			
Full Postal Address			
		 Please also complete this par 請填寫此部分 	t
L.	Note :	printing machine entry of the det	is not complete without validation by official ails above at the University or Town Centre al and Continuing Education, The University

EMPLOYMENT HISTORY (in reverse chronological order) 工作經驗 (請順序列出)

Name of Employer 工作機構	Date (From / To) 受聘日期

OTHER RELEVANT INFORMATION

HKU SPACE ALUMNI

All new enrolled students in the School will automatically become ordinary members of the HKU SPACE ALUMNI. If you DO NOT WISH to be a member of the HKU SPACE ALUMNI, please check this box.

Equal Opportunities for Learning at HKU SPACE

It is the School policy to offer equal opportunities to all applicants with or without disabilities. To enable us to meet the needs of all students, you are invited to indicate on this form whether you require any special assistance. If you do need such assistance, the School may approach you subsequently to obtain further details so as to facilitate our planning and assess how best we can help you. Special assistance required Yes No

Statement on Collection of Personal Data

- 1. It is necessary for applicants to supply their personal data and to provide all the information requested in the application documents, as otherwise the School may be unable to process and consider their applications.
- 2. The personal data provided in this form will be used for processing your application for admission, and for registration, academic and administrative communication, alumni management and contacts, research, statistical and marketing (including direct marketing) purposes. The data will be solely handled by HKU SPACE staff but may be transferred to an authorised third party providing services to the School in relation to the above purposes and prescribed purposes as allowed by the law from time to time.
- 3. When the processing and consideration of all the applications for a particular programme have been completed: (a) the application papers of unsuccessful candidates will be destroyed (if you have indicated to receive our promotional materials in Paragraph 6 then your contact details and related papers would be retained for such purposes); and (b) the application papers of successful candidates will serve as part of the applicant's official student records and will be handled by HKU SPACE staff or by staff of an authorised third party providing services to the School in relation to the stated purposes. In all such circumstances, please be assured that any personal information you supply will be kept strictly confidential.
- 4. Upon enrolment, applicants will be required to submit a recent colour photo for student identification purpose.

5. The School will send urgent messages to students via Short Message Services (SMS) and supplement by other means such as email (if the need arises). It is therefore important that the mobile phone number and email address that the applicant provides are accurate. Any change should be reported to the School immediately. Those who have genuine difficulty in receiving urgent messages via SMS should contact the programme teams for separate arrangements.

Use of Personal Data related to Direct Marketing

- 6. From time to time, the School will send the latest updates and promotional materials to applicants/students and alumni on the availability of the programmes and courses, seminars and events, discounts and offers, clinics and other services as well as the alumni events, privileges and offers, networking opportunities and fund-raising initiatives through various communication channels such as direct-mail, email and mobile phone, by using your personal data (including, but without limitation to, your name, contact details and other information collected in your profile such as the programme(s) you enrolled, your graduation year etc). You always have the right to make subsequent changes on your choice of receiving further marketing materials by sending a written unsubscribe request (by email or by post) to the School at any time.
- If you DO NOT WISH to receive our latest updates and promotional materials through the communication channels as stated above, including discounts and offers from time to time, please check this box.
- 8. For details on the School's policy on personal data (privacy), please refer to the School Prospectus or Website.

General Notes to Applicants

- 1. Enrolment can be done in person at any of the School's Enrolment Counters by completing this application form and submitting it with the appropriate fee and relevant documents. You can also mail to the "The Programme Director, Executive Certificate in Fund Administration and Regulatory Compliance, HKU SPACE" 34/F, United Centre, 95 Queensway, Hong Kong.
- 2. Fees paid by crossed cheque or bank draft should be made payable to "HKU SPACE".
- 3. For general and short courses, applicants may be required to pay the course fee in cash or by EPS, Visa or MasterCard if the course will start shortly.
- 4. If admission is on a first come, first served basis and if you do not hear from us before the course starts, you may assume that your application has been accepted, and should attend the course as scheduled.
- 5. If admission is by selection, the official receipt is not a guarantee that your application has been accepted. We will inform you of the result as soon as possible after the closing date for application. Unsuccessful applicants will be given a refund of programme/course fee if already paid.
- 6. If you do not know the teaching venue within 3 days of the starting date of the course, please check with the relevant programme team.
- 7. Course fees paid are not refundable except as statutorily provided or under very exceptional circumstances (e.g. course cancellation due to insufficient enrolment).
- 8. Please refer to the HKU SPACE Prospectus and the official website for full details of enrolment procedures for HKU SPACE Programmes.

Vaccine Mandate

Starting from January 17th 2022, the University will introduce a vaccine mandate which HKU SPACE will follow (https://covid19.hku.hk/announcements/all/2021/11/11105/). Please refer to details on implementation for access to HKU SPACE Learning Centres to be announced on our Website/Learner Portal as soon as possible.

Class arrangement during COVID-19

The COVID-19 situation may still be fluid and constantly affect class arrangements in the coming months. The health and safety of our students will always be our top priority. To ensure that students' academic progress is not affected, the School may substitute face-to-face classes with online teaching if necessary in the event that faceto-face classes cannot be held. Our respective Programme Teams will contact the students concerned with details of such arrangements as necessary.

Notes to Non-local Applicants

To study in Hong Kong, all non-local applicants are required to obtain a student visa issued by the Immigration Department of the HKSAR Government, except for those admitted to Hong Kong as dependants, who do not need prior approval before taking up full-time and part-time studies. Non-local applicants issued with a valid employment visa also do not need prior approval to pursue part-time studies. It is the responsibility of individual applicants to make appropriate visa arrangements. Admission to a HKU SPACE academic programme/course does not guarantee the issue of a student visa. Applicants may wish to note that part-time courses are generally not considered by the Immigration Department for visa purposes except for self-financed, part-time locally accredited taught postgraduate programmes awarded within the HKU System through HKU SPACE.

Declaration

- 1. I declare that all information given in this application form and the attached documents are, to the best of my knowledge, accurate and complete.
- 2. I authorise the School to obtain, and the relevant authorities to release, any information about my qualifications and/or employment as required for my application.
- 3. I consent that if registered, I will conform to the Statutes and Regulations of the University and the rules of the School.
- 4. I have noted, understood and agree to the contents of the above notes, Statement on Collection of Personal Data and HKU SPACE policy on personal data (privacy).

簽署	日期	
Signature	Date	